

RECEIPT # 60 2/4/02.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Legen D. Kearsen

Date: 10 30 01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Bruno Acklin et al.

Appl. No.

09/932,878

Filed

August 20, 2001

Title

Method for Producing Semiconductor Laser Components

Art Unit

2812

LETTER

Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231

Sir:

Undersigned counsel has received the Filing Receipt for the above-identified application.

However, the applicant information was listed incorrectly and should be as follows:

Bruno Acklin, Palo Alto, CA Stefan Grötsch, Regensburg, Germany

It is respectfully requested that the Patent Office Records be changed and that a new Filing Receipt be issued, so that the printed patent will show the correct applicant information.

Respectfully submitted,

LOREN DONALD PEARSON

REG NO. 42,987

/kf

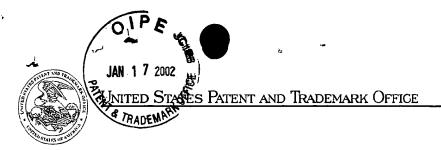
Lerner and Greenberg, P.A. Post Office Box 2480 Hollywood, FL 33022-2480

Tel:

(954) 925-1100

Fax:

(954) 925-11



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/932,878	08/20/2001	2812	872	P2000,0171	3	29	1

CONFIRMATION NO. 6826

FILING RECEIPT

OC000000006906092

LERNER AND GREENBERG, P.A. POST OFFICE BOX 2480 HOLLYWOOD, FL 33022-2480

Date Mailed: 10/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bruno Acklin, Regensburg, GERMANY; Stefan Grotsch, Regensburg, GERMANY;

Domestic Priority data as claimed by applicant

Foreign Applications

GERMANY 100 40 450.2 08/18/2000

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Method for producing semiconductor laser components

Preliminary Class

438





The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

JAN 1 7 2002

thereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

thereon.		priorition di diny prioriti	
FULL NAME OF FIRST J	OINT INVENTOR:	BRUNO ACKLIN	
INVENTOR'S SIGNATUR	•	X	
Residence: REGENSBU	JRG, GERMANY	Palo Alto, USA	BA 16.1
Country of Citizenship:	SWITZERLAND		
Post Office Address:	BERTOLDSTRASSE 7 D-93047 REGENSBURG GERMANY	ETZA MAYBELL A PALO ALTO, CA G U.S.A.	
FULL NAME OF SECON	D JOINT INVENTOR:	STEFAN GRÖTSCH	
INVENTOR'S SIGNATUR	RE:		
DATE:			
Residence: REGENSBL	JRG, GERMANY		
Country of Citizenship:	GERMANY		
Post Office Address:	VON-REINER-STRASSE	፤ 1	

D-93053 REGENSBURG

GERMANY

JAN 29 2002 TO JAN 29 2002